

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 022762-0014 U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/517004
INTERNATIONAL APPLICATION NO. PCT/IE03/00088	INTERNATIONAL FILING DATE 23 June 2003 (23.06.2003)	PRIORITY DATE CLAIMED 04 June 2002 (04.06.2002)
TITLE OF INVENTION BLOOD VESSEL CLOSURE CLIP AND DELIVERY DEVICE		
APPLICANT(S) FOR DO/EO/US Christy Cummins and Robert Stevenson		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.		
2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.		
3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.		
4. <input type="checkbox"/> The US has been elected (Article 31).		
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))		
a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).		
b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.		
c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).		
6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).		
a. <input type="checkbox"/> is attached hereto.		
b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).		
7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))		
a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).		
b. <input type="checkbox"/> have been communicated by the International Bureau.		
c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.		
d. <input checked="" type="checkbox"/> have not been made and will not be made.		
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).		
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)) (unexecuted) (3 sheets);		
10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).		
Items 11 to 20 below concern document(s) or information included:		
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.		
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13. <input checked="" type="checkbox"/> A preliminary amendment (5 sheets) (including amendments to the specification and claims);		
14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.		
15. <input type="checkbox"/> A substitute specification.		
16. <input type="checkbox"/> A power of attorney and/or change of address letter.		
17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.		
18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).		
19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).		
20. <input checked="" type="checkbox"/> Other items or information: Transmittal Letter (2 sheets in duplicate); Copy of PCT Request (13 sheets); copy of PCT International Published Application (WO 03/101310) (with international search report attached) (22 sheets); Check (\$475) based on small entity status; Certificate of Express mailing (1 sheet); and Return Postcard.		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (If known, 37 CFR 1.55) <div style="font-size: 24pt; font-weight: bold; text-align: center;">10/517004</div>	INTERNATIONAL APPLICATION NO. <div style="font-size: 24pt; font-weight: bold; text-align: center;">PCT/IE03/00088</div>	ATTORNEY'S DOCKET NUMBER <div style="font-size: 24pt; font-weight: bold; text-align: center;">022762-0014</div>
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21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) – (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1110.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$950.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$790.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$750.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 <div style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div> Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).	<div style="text-align: center; border-bottom: 1px solid black;">CALCULATIONS PTO USE ONLY</div> <div style="text-align: right; border-top: 1px solid black; border-bottom: 1px solid black;">\$ 950.00</div> <div style="text-align: right; border-bottom: 1px solid black;">\$</div>
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CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	13 -20 =	0	x 9.00
Independent claims	2 -3 =	0	x 44.00
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+ 150.00
TOTAL OF ABOVE CALCULATIONS =			\$ 950.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.			\$ 475.00
SUBTOTAL =			\$ 475.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).			\$
TOTAL NATIONAL FEE =			\$ 475.00
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$
TOTAL FEES ENCLOSED =			\$ 475.00
			Amount to be refunded: \$
			Amount to be charged: \$

a. ☒ A check in the amount of \$475.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 141449. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO: Lisa J. Michaud NUTTER MCCLENNEN & FISH LLP World Trade Center West 155 Seaport Boulevard Boston, Massachusetts 02210-2604 (617) 439-2550 CUSTOMER NUMBER: 021125	SIGNATURE:  <div style="text-align: center;">Lisa J. Michaud</div> <hr/> NAME <div style="text-align: right;">44,238</div> <hr/> REGISTRATION NUMBER
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UNITED STATES PATENT OFFICE

Applicant(s): **Christy CUMMINS and Robert STEVENSON**
Application No: **Not yet Assigned**
Filed: **Herewith**
Entitled: **BLOOD VESSEL CLOSURE CLIP AND DELIVERY DEVICE**
Agent's File Reference: **22762-14**

Certification under 37 CFR 1.10

Attention Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that the following documents are being deposited with the United States Postal Service using "Express Mail Post Office to Addressee" service, Mailing Label No. **EV 323 624 020 US**, under 37 CFR 1.10 on the date indicated below and addressed to the Commissioner for Patents, Mail Stop PCT, P.O. Box 1450, Alexandria, VA 22313-1450:

- (1) Transmittal Letter (2 sheets in duplicate);
- (2) Declaration, Petition and Power of Attorney (unexecuted) (3 sheets);
- (3) Preliminary Amendment (5 sheets) (along with amendments to the specification and claims);
- (4) Copy of PCT Request (13 sheets);
- (5) Copy of PCT International Published Application (WO 03/101310) (with International Search Report attached) (22 sheets);
- (6) Check (\$475) based on SMALL entity status;
- (7) Certificate of Express mailing (1 sheet); and
- (8) Return Postcard.

03 December 2004

Date


Name: **Lisa J. Michaud**